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Canonical equivalence relations for parameter sets

H. J. Prömel and B. Voigt

Let $\mathbb{E}$ be a class of objects with a binomial coefficient $\mathbb{E}(\frac{A}{B})$. Intuitively $\mathbb{E}(\frac{A}{B})$ is the set of all embeddings of B into A , respectively the set of all subobjects of A which are isomorphic to B . Embeddings $f \in \mathbb{E}(\frac{A}{B})$ and $g \in \mathbb{E}(\frac{B}{C})$ may be composed yielding $fg \in \mathbb{E}(\frac{A}{C})$. As known for categories this composition should be associative.

Notation: $\Pi(\mathbb{E}(\frac{A}{C}))$ denotes the set of equivalence relations on $\mathbb{E}(\frac{A}{C})$. For $\pi \in \Pi(\mathbb{E}(\frac{A}{C}))$ and $f \in \mathbb{E}(\frac{A}{B})$ then $\pi_f \in \Pi(\mathbb{E}(\frac{B}{C}))$ denotes the restriction of π to the subobjects of f , i.e. $g \approx h \pmod{\pi_f}$ iff $fg \approx fh \pmod{\pi}$.

Definition: A set $A \subseteq \Pi(\mathbb{E}(\frac{B}{C}))$ is a canonical set of equivalence relations (w.r.t. B and C) iff (1) there exists an $A' \in \mathbb{E}$ such that for all $A \in \mathbb{E}$ with $\mathbb{E}(\frac{A}{A'}) \neq \emptyset$ and $\pi \in \Pi(\mathbb{E}(\frac{A}{C}))$ there exists an $f \in \mathbb{E}(\frac{A}{B})$ such that $\pi_f \in A$ and (2) for every $\sigma \in A$ and every $A \in \mathbb{E}$ there exists a $\pi \in \Pi(\mathbb{E}(\frac{A}{C}))$ such that $\pi_f = \sigma$ for every $f \in \mathbb{E}(\frac{A}{B})$.

Motivation: In recent years it has turned out that canonical sets of equivalence relation yield a deeper insight into the partitional behaviour of certain structures. One of the first theorems in this

direction is the 'Erdős-Rado-canonization theorem' [1] which describes canonical equivalence relations for Ramsey's theorem. More recent results are due to A. Taylor [4] for Hindman's theorem and Nešetřil and Rödl [5] for graphs and hypergraphs.

Here we study Hales-Jewett classes $[A]$, where A is a finite set.

Definition: Let A be a finite set, $k \leq n$ be non-negative integers.

$[A]_k^n$ then is the set of mappings $f: n \rightarrow A \cup \{\lambda_0, \dots, \lambda_{k-1}\}$ - where for convenience always $n = \{0, \dots, n-1\}$ - satisfying

(1) $f^{-1}(\lambda_i) \neq \emptyset$ for $i < k$ and (2) $\min f^{-1}(\lambda_i) < \min f^{-1}(\lambda_j)$ for all $i < j < k$.

Parameter words $f \in [A]_m^n$ and $g \in [A]_k^m$ may be composed yielding $f \cdot g \in [A]_k^n$, where $f \cdot g(i) = f(i)$ for $f(i) \in A$ and $f \cdot g(i) = g(j)$ for $f(i) = \lambda_j$.

Notations: For sets $A \subseteq B$ we always assume $\Pi(A) \subseteq \Pi(B)$ by extending $b \approx b \pmod{\pi}$ for all $b \in B \setminus A$, $\pi \in \Pi(A)$. $\Pi(A)$ is partially ordered (in fact it is a lattice) by $\pi \leq \sigma$ iff $a \approx b \pmod{\pi}$ implies $a \approx b \pmod{\sigma}$ for all $a, b \in A$.

Definition: A sequence $\hat{\pi} = (\pi_0, \dots, \pi_k)$ of equivalence relations $\pi_i \in \Pi(A \cup \{\lambda_0, \dots, \lambda_i\})$ is k-canonical iff (1) $\pi_0 \leq \pi_1 \leq \dots \leq \pi_k$ and (2) if $\lambda_i \approx a \pmod{\pi_i}$ for some $a \in A \cup \{\lambda_0, \dots, \lambda_{i-1}\}$ then $\pi_{i+1} \leq \pi_i$.

Notation: Let $\hat{\pi} = (\pi_0, \pi_1, \dots, \pi_k)$ be k -canonical and $f \in [A]_k^{(n)}$.

For $i \leq k$ the numbers $\omega_{\hat{\pi}}(f, i)$ are defined by

$\omega_{\hat{\pi}}(f, i) = \min\{\xi > \omega_{\hat{\pi}}(f, i-1) : f(\xi) \approx_{\lambda_i} f(\xi_1) \pmod{\pi_i}\}$, where for convenience

$\omega_{\hat{\pi}}(f, -1) = -1$.

Definition: Let $\hat{\pi} = (\pi_0, \dots, \pi_k)$ be k -canonical. The partition

$\hat{\pi}(n) \in \Pi([A]_k^{(n)})$ is defined by:

$f \approx g \pmod{\hat{\pi}(n)}$ iff for all $i = -1, 0, \dots, k-1$ for all ξ with

$\min(\omega_{\hat{\pi}}(f, i), \omega_{\hat{\pi}}(g, i)) < \xi < n$ follows $f(\xi) \approx_{\lambda_{i+1}} g(\xi) \pmod{\pi_{i+1}}$.

The main theorem then is:

Theorem: The set $\{\hat{\pi}(m) : \hat{\pi} \text{ is } k\text{-canonical}\}$ is a canonical set of

equivalence relations (w.r.t. $[A]_k^{(n)}$).

The theorem has many interesting applications, we only state two of

them explicitly.

Corollary 1: For every finite set A and non-negative integer m

there exists an n , such that for every equivalence relation

$\sigma \in \Pi([A]_0^{(n)})$ there exists an $f \in [A]_0^{(n)}$ and a $\pi \in \Pi(A)$ such that

for all $g, h \in [A]_0^{(m)}$ follows $(g \approx h \pmod{\pi_f}) \iff (g(\xi) \approx h(\xi) \pmod{\sigma})$

for every $0 \leq \xi < m$.

Corollary 2: For every positive integer m there exists an n

such that for every equivalence relation $\pi \in \Pi([A]_0^{(n)})$ on the set

of pairs (A, B) of subsets of n with $A \subseteq B$, $A \neq B$ there exists a $P(m)$ -sublattice of $P(n)$, i.e. sets $X_0, X_1, \dots, X_m$, with $X_i \cap X_j = X_0$ and $X_i \neq X_j$ for $i \neq j$ such that one of the following 5 cases holds for all pairs (A, B) , (C, D) $A \subsetneq B$, $C \subsetneq D$:

- (1) $(A, B) \approx (C, D) \pmod{\pi}$ iff $A = C$ and $B = D$
- (2) $(A, B) \approx (C, D) \pmod{\pi}$ iff $B \setminus A = D \setminus C$
- (3) $(A, B) \approx (C, D) \pmod{\pi}$ iff $A = C$
- (4) $(A, B) \approx (C, D) \pmod{\pi}$ iff $B = D$
- (5) $(A, B) \approx (C, D) \pmod{\pi}$ for all (A, B) , (C, D) .

Analogous theorems may be established for finite vector spaces and affine spaces. Details and proofs are going to appear elsewhere.

References

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